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3723

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Disclosure No. 00-1130.00/US

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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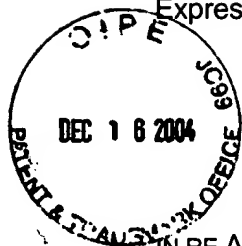
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|---|---|------------------------|----------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) |   | Application Number     | 09/888,002     |
|   |   | Filing Date            | June 21, 2001  |
|   |   | First Named Inventor   | Whonchee Lee   |
|   |   | Art Unit               | 3723           |
|   |   | Examiner Name          | Dung V. Nguyen |
| Total Number of Pages in This Submission  | 4 | Attorney Docket Number | 108298515US3   |

**ENCLOSURES (Check all that apply)**

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Form PTO-1449 (Modified)<br>2. Return Receipt Postcard |
| <b>Remarks</b>   |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |  |
|-------------------------|--|
| Firm or Individual name | PERKINS COIE LLP<br>John M. Wechkin - 42,216 |
| Signature               |  |
| Date                    | Dec. 16, 2004                                |



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: WHONCHEE LEE

APPLICATION NO.: 09/888,002

FILED: JUNE 21, 2001

FOR: **METHODS AND APPARATUS FOR  
ELECTRICALLY AND/OR CHEMICALLY-  
MECHANICALLY REMOVING CONDUCTIVE  
MATERIAL FROM A MICROELECTRONIC  
SUBSTRATE**

EXAMINER: DUNG V. NGUYEN

ART UNIT: 3723

CONF. NO: 9049

**Supplemental Information Disclosure Statement**  
**Before First Office Action after the Filing of a Request for Continued**  
**Examination Under 37 C.F.R. § 1.114 – 37 C.F.R. § 1.97(b)(4)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed before the mailing date of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 [37 C.F.R. § 1.97(b)(4)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

- ☒ There are no enclosed copies of cited U.S. Patents or Published Applications.

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

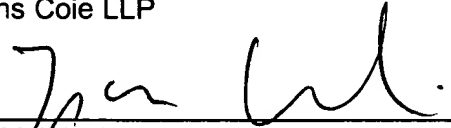
This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Respectfully submitted,  
Perkins Coie LLP

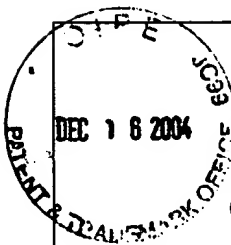


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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**Form PTO-1449 (Modified)  
(Use several sheets if necessary)**COMPLETE IF KNOWN**

|                      |                |
|----------------------|----------------|
| Application Number   | 09/888,002     |
| Confirmation Number  | 9049           |
| Filing Date          | June 21, 2001  |
| First Named Inventor | Whonchee Lee   |
| Group Art Unit       | 3723           |
| Examiner Name        | Dung V. Nguyen |
| Attorney Docket No.  | 108298515US3   |

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. | U.S. Patent or Application |                         | Name of Patentee or Inventor<br>of Cited Document | Date of<br>Publication or<br>Filing Date of<br>Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or<br>Relevant Figures Appear |
|-----------------------|-------------|----------------------------|-------------------------|---|---|---|
|                       |             | NUMBER                     | Kind Code<br>(if known) |   |   |   |
|                       |             | 6,103,636                  |                         | Zahorik et al.                                    | 08/15/2000  |   |
|                       |             | 5,843,818                  |                         | Joo et al.  | 12/01/1998  |   |
|                       |             | 5,888,866                  |                         | Chien   | 03/30/1999  |   |
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|                       |             | 6,461,911                  | B2                      | Ahn et al.  | 10/08/2002  |   |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).